

*The PSE makes no representation on the accuracy, validity, correctness and completeness of the information stated in the respective PORs of listed companies. The PSE shall use the information contained in the POR submitted by the company in computing a company's weight in the index and this may be updated or adjusted consistent with the policy of the Exchange in managing the PSEi and sector indices.*

## COL Financial Group, Inc. COL

### PSE Disclosure Form POR-1 - Public Ownership Report *Reference: Amended Rule on Minimum Public Ownership*

#### Report Type

Monthly  
Quarterly  
Others

Report Date	Mar 31, 2021
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#### Computation of Public Ownership

Number of Issued and Outstanding Common Shares	4,760,000,000
Less: Number of Treasury Common Shares, if any	-
Number of Outstanding Common Shares	4,760,000,000

#### Less :

#### A. Directors

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
Edward K. Lee	930,063,000	100,493,000	1,030,556,000	21.65

Alexander C. Yu	536,468,250	125,713,000	662,181,250	13.91
Conrado F. Bate	163,940,590	41,342,000	205,282,590	4.31
Paulwell Han	399,158,750	-	399,158,750	8.39
Kido Hokushin	10	-	10	-
Hernan G. Lim	135,373,850	39,888,000	175,261,850	3.68
Raymond C. Yu	136,705,400	86,534,000	223,239,400	4.69
Wellington C. Yu	100,000	-	100,000	-
Arthur Gindap	1,000	-	1,000	-
Betty C. Siy-Yap	1,000	-	1,000	-
Roberto C. Benares	1,000	-	1,000	-
	2,301,812,850	393,970,000	2,695,782,850	56.63

#### B. Officers

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
Catherine L. Ong	44,428,000	45,400,000	89,828,000	1.89
Juan G. Barredo	10,250,500	-	10,250,500	0.22
Nikos J. Bautista	11,602,000	-	11,602,000	0.24
April Lynn L. Tan	17,080,000	-	17,080,000	0.36
Lorena E. Velarde	6,500,000	-	6,500,000	0.14
Melissa O. Ng	2,587,500	70,000	2,657,500	0.06
Sharon T. Lim	707,500	85,000	792,500	0.02
Gabriel Jose E. Mendiola	2,100,000	41,225,000	43,325,000	0.91
Joyce G. Chan	-	4,025,000	4,025,000	0.08
Stephanie Faye B. Reyes	-	-	-	-
	95,255,500	90,805,000	186,060,500	3.92

#### C. Principal/Substantial Stockholders

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
Daiwa Securities Group, Inc.	709,240,000	-	709,240,000	14.9
	709,240,000	0	709,240,000	14.9

#### D. Affiliates

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
-	-	-	-	-
	0	0	0	0

#### E. Government

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
-	-	-	-	-
	0	0	0	0

#### F. Banks

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
-	-	-	-	-

	0	0	0	0
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#### G. Employees

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
-	-	-	-	-
	0	0	0	0

#### H. Lock-Up Shares

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
-	-	-	-	-
	0	0	0	0

#### I. Others

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
-	-	-	-	-
	0	0	0	0

<b>Number of Listed Common Shares</b>	4,760,000,000
<b>Total Number of Non-Public Shares</b>	3,591,083,350
<b>Total Number of Shares Owned by the Public</b>	1,168,916,650
<b>Public Ownership Percentage</b>	24.56%

Other Relevant Information
None

#### Filed on behalf by:

<b>Name</b>	Sharon Lim
<b>Designation</b>	Corporate Secretary/Compliance Officer

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## COL Financial Group, Inc. COL

### PSE Disclosure Form POR-1 - Public Ownership Report *Reference: Amended Rule on Minimum Public Ownership*

#### Report Type

Monthly  
Quarterly  
Others

<b>Report Date</b>	Jun 30, 2021
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#### Computation of Public Ownership

<b>Number of Issued and Outstanding Common Shares</b>	4,760,000,000
<b>Less: Number of Treasury Common Shares, if any</b>	0
<b>Number of Outstanding Common Shares</b>	4,760,000,000

#### Less :

##### A. Directors

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
Edward K. Lee	930,356,000	100,938,000	1,031,294,000	21.67

Alexander C. Yu	536,441,250	125,780,000	662,221,250	13.91
Conrado F. Bate	163,940,590	41,042,000	204,982,590	4.31
Hernan G. Lim	135,373,850	39,888,000	175,261,850	3.68
Raymond C. Yu	136,705,400	86,534,000	223,239,400	4.69
Wellington Yu	100,000	0	100,000	0
Kido Hokushin	10	0	10	0
Paulwell Han	399,158,750	0	399,158,750	8.39
Arthur Gerard D. Gindap	1,000	0	1,000	0
Roberto C. Benares	1,000	0	1,000	0
Betty C. Siy-Yap	1,000	0	1,000	0
	2,302,078,850	394,182,000	2,696,260,850	56.65

#### B. Officers

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
Catherine L. Ong	44,428,000	58,000,000	102,428,000	2.15
Juan G. Barredo	10,250,500	0	10,250,500	0.22
Nikos J. Bautista	11,602,000	0	11,602,000	0.24
Lorena E. Velarde	6,500,000	0	6,500,000	0.14
April Lynn L. Tan	17,080,000	0	17,080,000	0.36
Melissa O. Ng	2,587,500	70,000	2,657,500	0.06
Sharon T. Lim	707,500	85,000	792,500	0.02
Gabriel Jose E. Mendiola	2,100,000	41,225,000	43,325,000	0.91
Joyce C. Chan	0	4,025,000	4,025,000	0.08
Rea P. Orteza	0	3,000	3,000	0
Stephanie Faye B. Reyes	0	0	0	0
	95,255,500	103,408,000	198,663,500	4.18

#### C. Principal/Substantial Stockholders

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
Daiwa Securities Group, Inc.	709,240,000	0	709,240,000	14.9
	709,240,000	0	709,240,000	14.9

#### D. Affiliates

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
-	-	-	-	-
	0	0	0	0

#### E. Government

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
-	-	-	-	-
	0	0	0	0

#### F. Banks

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
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-	-	-	-	-
	0	0	0	0

#### G. Employees

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
-	-	-	-	-
	0	0	0	0

#### H. Lock-Up Shares

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
-	-	-	-	-
	0	0	0	0

#### I. Others

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
-	-	-	-	-
	0	0	0	0

<b>Number of Listed Common Shares</b>	4,760,000,000
<b>Total Number of Non-Public Shares</b>	3,604,164,350
<b>Total Number of Shares Owned by the Public</b>	1,155,835,650
<b>Public Ownership Percentage</b>	24.28%

Other Relevant Information
None

#### Filed on behalf by:

<b>Name</b>	Sharon Lim
<b>Designation</b>	Corporate Secretary/Compliance Officer

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## COL Financial Group, Inc. COL

### PSE Disclosure Form POR-1 - Public Ownership Report *Reference: Amended Rule on Minimum Public Ownership*

#### Report Type

Monthly  
Quarterly  
Others

Report Date	Sep 30, 2021
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#### Computation of Public Ownership

Number of Issued and Outstanding Common Shares	4,760,000,000
Less: Number of Treasury Common Shares, if any	0
Number of Outstanding Common Shares	4,760,000,000

#### Less :

#### A. Directors

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
Edward K. Lee	930,414,000	100,938,000	1,031,352,000	21.67

Alexander C. Yu	536,461,250	125,793,000	662,254,250	13.91
Conrado F. Bate	163,940,599	41,042,000	204,982,599	4.31
Hernan G. Lim	135,373,850	39,888,000	175,261,850	3.68
Raymond C. Yu	136,705,400	86,634,000	223,339,400	4.69
Wellington Yu	100,000	0	100,000	0
Seiji Okita	1	0	1	0
Paulwell Han	99,158,750	300,000,000	399,158,750	8.39
Arthur Gerard D. Gindap	120,000	0	120,000	0
Roberto C. Benares	1,000	0	1,000	0
Betty C. Siy-Yap	1,000	0	1,000	0
	2,002,275,850	694,295,000	2,696,570,850	56.65

#### B. Officers

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
Catherine L. Ong	44,428,000	58,000,000	102,428,000	2.15
Juan G. Barredo	10,250,500	0	10,250,500	0.22
Nikos J. Bautista	11,602,000	0	11,602,000	0.24
Lorena E. Velarde	6,500,000	0	6,500,000	0.14
April Lynn L. Tan	17,080,000	0	17,080,000	0.36
Melissa O. Ng	2,587,500	70,000	2,657,500	0.06
Sharon T. Lim	707,500	85,000	792,500	0.02
Gabriel Jose E. Mendiola	2,100,000	41,225,000	43,325,000	0.91
Joyce C. Chan	0	4,025,000	4,025,000	0.08
Rea P. Orteza	0	3,000	3,000	0
Stephanie Faye B. Reyes	0	0	0	0
	95,255,500	103,408,000	198,663,500	4.18

#### C. Principal/Substantial Stockholders

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
Daiwa Securities Group, Inc.	709,240,000	0	709,240,000	14.9
	709,240,000	0	709,240,000	14.9

#### D. Affiliates

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
-	-	-	-	-
	0	0	0	0

#### E. Government

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
-	-	-	-	-
	0	0	0	0

#### F. Banks

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
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-	-	-	-	-
	0	0	0	0

#### G. Employees

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
-	-	-	-	-
	0	0	0	0

#### H. Lock-Up Shares

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
-	-	-	-	-
	0	0	0	0

#### I. Others

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
-	-	-	-	-
	0	0	0	0

<b>Number of Listed Common Shares</b>	4,760,000,000
<b>Total Number of Non-Public Shares</b>	3,604,474,350
<b>Total Number of Shares Owned by the Public</b>	1,155,525,650
<b>Public Ownership Percentage</b>	24.28%

Other Relevant Information
NONE

#### Filed on behalf by:

<b>Name</b>	Sharon Lim
<b>Designation</b>	VP – Head of Legal & Compliance, Corporate Secretary

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## COL Financial Group, Inc. COL

### PSE Disclosure Form POR-1 - Public Ownership Report *Reference: Amended Rule on Minimum Public Ownership*

#### Report Type

Monthly  
Quarterly  
Others

Report Date	Dec 31, 2021
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#### Computation of Public Ownership

Number of Issued and Outstanding Common Shares	4,760,000,000
Less: Number of Treasury Common Shares, if any	0
Number of Outstanding Common Shares	4,760,000,000

#### Less :

#### A. Directors

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
Edward K. Lee	930,414,000	100,938,000	1,031,352,000	21.67

Alexander C. Yu	536,475,250	125,830,000	662,305,250	13.91
Conrado F. Bate	163,940,599	41,042,000	204,982,599	4.31
Hernan G. Lim	135,373,850	39,888,000	175,261,850	3.68
Raymond C. Yu	136,705,400	86,634,000	223,339,400	4.69
Wellington Yu	100,000	0	100,000	0
Seiji Okita	1	0	1	0
Paulwell Han	99,158,750	300,000,000	399,158,750	8.39
Arthur Gerard D. Gindap	120,000	0	120,000	0
Roberto C. Benares	1,000	0	1,000	0
Betty C. Siy-Yap	1,000	0	1,000	0
	2,002,289,850	694,332,000	2,696,621,850	56.65

#### B. Officers

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
Catherine L. Ong	44,428,000	58,000,000	102,428,000	2.15
Juan G. Barredo	10,250,500	0	10,250,500	0.22
Nikos J. Bautista	11,602,000	0	11,602,000	0.24
Lorena E. Velarde	6,500,000	0	6,500,000	0.14
April Lynn L. Tan	17,080,000	0	17,080,000	0.36
Melissa O. Ng	2,587,500	70,000	2,657,500	0.06
Sharon T. Lim	707,500	85,000	792,500	0.02
Gabriel Jose E. Mendiola	2,100,000	41,225,000	43,325,000	0.91
Joyce C. Chan	0	4,025,000	4,025,000	0.08
Rea P. Orteza	0	3,000	3,000	0
Stephanie Faye B. Reyes	0	0	0	0
	95,255,500	103,408,000	198,663,500	4.18

#### C. Principal/Substantial Stockholders

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
Daiwa Securities Group, Inc.	709,240,000	0	709,240,000	14.9
	709,240,000	0	709,240,000	14.9

#### D. Affiliates

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
-	-	-	-	-
	0	0	0	0

#### E. Government

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
-	-	-	-	-
	0	0	0	0

#### F. Banks

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
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-	-	-	-	-
	0	0	0	0

**G. Employees**

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
-	-	-	-	-
	0	0	0	0

**H. Lock-Up Shares**

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
-	-	-	-	-
	0	0	0	0

**I. Others**

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
-	-	-	-	-
	0	0	0	0

<b>Number of Listed Common Shares</b>	4,760,000,000
<b>Total Number of Non-Public Shares</b>	3,604,525,350
<b>Total Number of Shares Owned by the Public</b>	1,155,474,650
<b>Public Ownership Percentage</b>	24.27%

Other Relevant Information
NONE

**Filed on behalf by:**

<b>Name</b>	Stephanie Faye Reyes
<b>Designation</b>	Assistant Corporate Secretary